An overview of the current status of the neutrino mass measurements with a focus on the HOLMES experiment

Andrei Puiu

## Neutrinos



On average there are roughly one billion times more neutrinos than protons in the Universe. - Second most abundant particle in the universe - Influenced the universe as we see it



## **Neutrino discovery**

# Needed to explain the continous spectrum of electrons emitted in beta decay





 $\rightarrow$  Opened a new era of experiments... and problems to be solved

## **Still misterious**

Neutrinos are peculiar particles

- Tiny mass
- Flavour oscillation





#### Open questions:

- What is the absolute scale of their mass ?
- Majorana or Dirac particle ?
- CP violating phase ?
- Sterile neutrinos ?

### Facts on neutrinos

- A central goal in both cosmology and particle physics is to measure the mass of the neutrino particles. The neutrino sector is still poorly understood and the mechanism that gives rise to their mass is unknown.
- There are thought to be three active neutrino species, with mass differences measured through solar, atmospheric, reactor and accelerator neutrino oscillation experiments



The absolute mass scale is still unknown

### Mixing matrix



### How to assess the neutrino mass ?

- Cosmological measurements
- Neutrinoless Double Beta Decay
- Direct kinematic measurement from Beta or Electron Capture (EC) decay

Since the flavour oscillations paradigm has been established, a remarkable increase of interest has in investigating directly the absolute mass scale

## cosmology

$$m_{\Sigma} = \Sigma_i m_{\nu i}$$

Massive neutrinos behave initially like non-interacting relativistic particles, and then later like cold dark matter. As such they affect the expansion rate of the Universe, compared to a pure radiation or pure matter component, as well as modifying the evolution of perturbations at early times

- m<sub>vi</sub> ≠ 0 affects CMB (multipole expansion and polarisation), Barionic Acoustic Oscillation and Lensing power spectrum
- $\Sigma_{i}m_{vi}$  is flavour mixing independent





## **Constraints from Cosmology**



 $m_{\Sigma} = \sum_{i} m_{\nu i}$ 

Assumptions:

- cosmological neutrinos are the same as the terrestrial ones
- $\Lambda$ CDM + 3 interacting neutrinos

The current indirect 95% upper limit from cosmological data on the sum of the neutrino masses is

## $\Sigma_{i}m_{vi}$ < 130 meV

from the Planck measurements of the Cosmic Microwave Background (CMB), combined with Baryon Acoustic Oscillation (BAO) measurements from the Baryon Oscillation Spectroscopic Survey (BOSS)

### **Neutrinoless Double Beta Decay**

$$m_{\beta\beta} = \left| \Sigma_i m_{\nu i} U_{ei}^2 \right|$$

- Not allowed in the Standard Model
- Possible only if neutrinos are Majorana particle
- Decay with halflife  $\tau_{1/2} > 10^{26}$  y 30 ββ2ν Decay rate (A.U. 2.0 20 × 10 B allowed **BB** e 1.5 0.90 1.00 1.10 1.0ββ0ν 0.5 0.0 0.0 0.2 0.4 0.6 1.0 m., Fraction of decay energy  $\equiv V$  $|\Gamma=G|M|^2 \left|m_{etaeta}
  ight|^2$ neutrinoless **BB** Andrei Puiu- Zuerich University



- 2vββ decay poses an unavoidable background
- High Q-value is desired
- High natural abudance → cheaper

## **Neutrinoless Double Beta Decay Sensitivity**

Very rare event search require a special experimental environment

 Low background is a must possibly 0 background

- High resolution for electron detection
- Very large masses with high isotopic abundance
- Undergound laboratories mandatory for cosmic ray shielding





- M: Total active mass in kg
- $\epsilon$ : Detector efficiency
- i.a.: Isotopic abundance
- b: Background in c/keV/kg/y
- ΔE: Detector resolution @ ROI in keV
- T: Exposure time in y

## **Experimental approach**

#### Calorimetric / Ge diodes



- Source = detector
- Extremely good energy resolution
- Crucial material selection
- Background discrimination techniquies
- Now at Ton scale

#### Liquid Xenon / Loaded scintillator





- Easily scalable to multi-ton scale
- Lower energy resolution
- Self shielding effect of liquid Xe

## Current limit on $m_{\beta\beta}$ and neutrino mass

A non trivial issue is the evaluation of the nuclear matrix elements in order to assess mbb from the measured half life





## Current limit on $m_{\nu}$ from $0\nu\beta\beta$ decay

#### The main limits from experiments combined is 130 – 310 meV, depending on NME model



## The model indepent tool

Kinematics of b decay:  $E^2 = p^2c^2 + m^2c^4$ process involving neutrinos in the final state



### How to measure the neutrino



## Kurie plot

A common way to draw the beta decay spectrum is the Kurie plot: a convenient linearisation of the beta spectrum



## Mass hierarchy effect

$$m_{\beta} = \left(\Sigma_i m_{\nu_i}^2 U_{ei}^2\right)^{1/2}$$

- the Kurie plot is an actual sum of three different sub-plots
- Each sub-Kurie plot corresponds to one of the three different mass eigenvalues
- The weight of each sub-Kurie plot will be given by |U<sub>ei</sub>|<sup>2</sup>

current experiments do not have the ability to resolve this feature  $\rightarrow m_{\beta}$  is measured instead

### End point close-up



## **Experimental approach**

#### Two complementary approaches:

Spectrometers: source external to the detector

- Guide and select the electrons emitted from a beta source using high precision electric and magnetic fields
- measurement of the electron energy separated from the source
- Katrin planned sensitivity:  $\sim 0.2 \text{ eV}$

Calorimetry: source included in the detector

- measure all the visible energy of the decay with high resolution low energy electron detector
- → cryogenic microcalorimeters
- present limit on  $m_{_{\rm B}}$ : ~ 10 eV
- Future sensitivity:  $1 \text{ eV} \rightarrow \text{easily scalable to } 0.1 \text{ eV}$

## **Quick overview**



### **Spectrometers and calorimeters**

### Spectrometers

- PROs:
- High statistics
- Very good energy resolution

#### CONs:

- systematics due to source effects
- systematics due to decay to excitated states
- background



Calorimeters:

#### PROs:

- no backscattering
- no energy losses in the source
- no solid state excitation
- no atomic/molecular final state effects CONs:
- limiteted statistics
- systematics due to pile-up
- background

![](_page_20_Picture_19.jpeg)

### Spectrometry of beta sources -1-

![](_page_21_Figure_1.jpeg)

### Spectrometry of beta sources -2-

![](_page_22_Figure_1.jpeg)

### Spectrometry of beta sources -3-

![](_page_23_Figure_1.jpeg)

### Spectrometry of beta sources -4-

![](_page_24_Figure_1.jpeg)

Wrong end point evaluation introduces systematics which, if not perfectly understood, can lead to a wrong estimation of the neutrino mass; such as  $m_0^2 < 0$ 

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![](_page_24_Figure_4.jpeg)

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### **Current spectrometer status**

electrostatic integrating spectrometers (MAC-E filter)

- Mainz with solid <sup>3</sup>H source
- Troitsk with gaseous <sup>3</sup>H source

m<sub>v</sub> < 2.2 eV 95% CL

![](_page_25_Figure_5.jpeg)

KATRIN will push the limit down by an order of magnitude

- Measure integral spectrum with moving threshold (E<sub>kin</sub> > eU<sub>0</sub>)
- Magnetic Adiabatic Collimation + Electrostatic filter

![](_page_26_Figure_3.jpeg)

[Beamson et al. 1980; Kruit & Read 1983; Lobashev 1985; Picard et al. 1992] Andrei Puiu- Zuerich University

![](_page_27_Figure_1.jpeg)

Measure integral spectrum with moving threshold ( $E_{kin} > eU_0$ )

![](_page_27_Figure_2.jpeg)

![](_page_28_Figure_1.jpeg)

Magnetic <u>A</u>diabatic <u>C</u>ollimation + <u>E</u>lectrostatic filter

![](_page_28_Figure_3.jpeg)

momentum transformation without E-field

$$\mu = \frac{E_{\perp}}{B} = \text{const}$$

![](_page_28_Figure_7.jpeg)

![](_page_28_Figure_8.jpeg)

![](_page_29_Figure_1.jpeg)

### $KATRIN \rightarrow 0.2 \text{ eV goal}$

![](_page_30_Picture_2.jpeg)

### $KATRIN \rightarrow 0.2 \text{ eV goal}$

![](_page_31_Figure_2.jpeg)

### KATRIN $\rightarrow$ 0.2 eV goal

![](_page_32_Figure_2.jpeg)

## KATRIN $\rightarrow$ 0.2 eV goal Pre spectrometer: First energy selection: $10^{3} e^{-}/s$ 10<sup>11</sup> e<sup>-</sup>/s 70 m Windowless Gaseous T, Source

## KATRIN $\rightarrow$ 0.2 eV goal Main spectrometer for energy selection Incoming: $10^3 e^{-1}/s$ Reaching detector: 1 e<sup>-</sup>/s 10<sup>11</sup> e<sup>-</sup>/s Windowless Gaseous T, Source

### $KATRIN \rightarrow 0.2 \text{ eV goal}$

#### Final e- couting facility:

- 90 mm diameter Si-PIN diode
- 148 pixels (dartboard layout)
- ΔE FWHM ~2 keV

 $10^{11} e^{-}/s$ 

• High detection efficiency ~ 95 %

Windowless Gaseous T<sub>2</sub> Source  $1 e^{-}/s$ 

## **KATRIN** beta spectrum and sensitivity

![](_page_36_Figure_1.jpeg)

- Fit of the integral spectrum
- 4 fit parameters: m<sup>2</sup><sub>v</sub>, E<sub>0</sub>, A<sub>Sig</sub>, R<sub>Bg</sub>

![](_page_36_Figure_4.jpeg)

3 yrs (5 calendar yrs) to balance statistics and systematics

β -decay spectrum: Kleesiek et al., arXiv:1806.00369

### First spectrum

![](_page_37_Figure_1.jpeg)

![](_page_37_Picture_2.jpeg)

#### First end point scan in KATRIN Great success ! Presented at NDM 2018 Daejeon | 29. June – 4. July 2018 Slides by Wongook Choi | KIT-ETP

### Limits are to be pushed

![](_page_38_Figure_1.jpeg)

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Trasparenza nr. 39

## Calorimetry

- Calorimetric detectors are a promising approach for confirmation of spectrometric measurements and for improving sensitivity in the near future
- Tritium is difficult to embed inside an absorber
- New isotope is needed. <sup>187</sup>Re at first
- Electron capture decaying <sup>163</sup>Ho is the latest candidate

![](_page_39_Picture_5.jpeg)

![](_page_39_Picture_6.jpeg)

### Low temperature calorimeters

![](_page_40_Figure_1.jpeg)

- The isotope of choice (<sup>163</sup>Ho) is embedded in a gold absorber
- For each decay energy is released inside the absorber: the temperature increase is
  proportional to E/C → very low heat capacity for high signals → low temperature detectors
- All the released energy contributes to the formation of the signal, including eventual excited final states → no end point deformation

## Calorimetric measurement with <sup>163</sup>Ho

O N N Cattura elettronica

 $^{163}$ Ho + e<sup>-</sup>  $\longrightarrow$   $^{163}$ Dy<sup>\*</sup>+v<sub>o</sub>

<sup>163</sup>Ho decays via (EC) from shell  $\geq$  M1, with Q<sub>EC</sub> ~ 2.8keV

Proposed by A. De Rujula and M. Lusignoli, Phys. Lett. B 118 (1982) 429

$$\frac{d\lambda_{\rm EC}}{dE_{\rm c}} = \frac{G_{\beta}^2}{4\pi^2} \left[ (Q - E_{\rm c})\sqrt{(Q - E_{\rm c})^2 - m_{\nu}^2} \times \Sigma_i n_i C_i \beta_i^2 \frac{\Gamma_i}{2\pi} \frac{1}{(E_{\rm c} - E_i)^2 + \Gamma_i^2/4} \right]$$
  
ent of e- from Dy esonance  
point where m<sub>v</sub>  $\frac{10^{12}}{10^6} \int_{0.5}^{0.8} \frac{10^{14}}{1 \cdot 15} \int_{0.5}^{0.8} \frac{10^{14}}{2 \cdot 5} \int_{0.5}^{0.6} \frac{10^{14}}{1 \cdot 15} \int_{0.5}^{0.6} \frac{10^{14}}{2 \cdot 5} \int_{0.5}^{0.6} \frac{10^{14}}{1 \cdot 5} \int_{0.5}^{0.6} \frac{10^{14}}{1 \cdot 15} \int_{0.5}^{0.6} \frac{10^{14}}{2 \cdot 5} \int_{0.5}^{0.6} \frac{10^{14}}{1 \cdot 5} \int_{0.5}^{0.6} \frac{10^$ 

- calorimetric measurement of e- from Dy de-excitation

end point close to M1 resonance
 enhances rate at the end point where m,
 is measured

-  $\tau_{_{1/2}}$  ~ 4570 y: 2x1011 nuclei 163Ho = 1 Bq

## Sentivity on neutrino mass and pile-up

Since all the events occurring within one detector are recorded without previous selection, pile-up becomes a crucial limiting factor

- events occurring closer in time than the timing resolution of the dector  $(\tau_{R})$
- sets the limit on the maximum activity  $(A_{FC})$  of each detector

![](_page_42_Figure_4.jpeg)

## Number of events

![](_page_43_Figure_1.jpeg)

#### HOLMES will:

- Measure  $m_v$  with ~ 1 eV sensitivity
- Prove that calorimeters are a valid technique
- High precision *Q-vlaue* measurement of <sup>163</sup>Ho
- Systematic errors assesment

#### Short and medium terms

- 64 detectors array,  $t_{\rm M} = 1$  month (m<sub>v</sub> < 10 eV)
- Final measurement: 1000 detectors, 3x10<sup>13</sup> events in 3 y
- $6.5 \times 10^{16} \, {}^{163}$ Ho nuclei needed (~18 µg)

#### Five year plan started in 2014

## Where to get <sup>136</sup>Ho

 $\begin{array}{ll} {}^{162} \text{Er}(\textbf{n}, \gamma) {}^{163} \text{Er} & \sigma_{\text{thermal}} \approx 20 \text{b} \\ {}^{163} \text{Er} & {}^{163} \text{Ho} + \nu_{\text{e}} & \tau_{\gamma_2} {}^{\text{EC}} \approx 75 \text{min} \end{array}$ 

- ILL at Grenoble: high neutron flux n 1.3x10<sup>15</sup> n/cm<sup>2</sup>/s
- Brun up cross section  ${}^{163}$ Ho(n, $\gamma$ ) ${}^{164}$ Ho non negligible (~ 200 b)
- ${}^{165}$ Ho(n, $\gamma$ ) (da  ${}^{164}$ Er(n, $\gamma$ ))  $\rightarrow {}^{166m}$ Ho,  $\beta^-$ ,  $\tau_{\frac{1}{2}}$  = 1200 y, Q = 1856 keV
- $A(^{163}\text{Ho})/A(^{166m}\text{Ho}) = 100 \sim 1000$
- Pre and post irradiation purification at PSI (Villigen, CH)

HOLMES needs ~ 200 MBq of <sup>163</sup>Ho

ECHO needs ~ 10 Mbq of <sup>163</sup>Ho

![](_page_44_Picture_9.jpeg)

## Getting <sup>163</sup>Ho inside the detectors

**162** 

-5

0

ximmi

10

-10

![](_page_45_Figure_1.jpeg)

![](_page_45_Picture_2.jpeg)

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![](_page_45_Figure_4.jpeg)

 $^{163}$ Ho/ $^{166m}$ Ho separation > 10<sup>5</sup>

## Ho source (to be placed inside the implanter)

Thermoreduction/distillation inside special furnace:  $Ho_2O_3 + 2Y(met) \rightarrow 2Ho(met) + Y_2O_3$  at T > 1600 °Cc

![](_page_46_Picture_2.jpeg)

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![](_page_46_Picture_3.jpeg)

#### Ho source production:

- Metallic Ho metallico mixed with Ti e Sn
- Extraction efficiency studies are in progress
- First extraction test

![](_page_46_Picture_8.jpeg)

![](_page_46_Picture_9.jpeg)

![](_page_46_Picture_10.jpeg)

Evaporated Ho  $\rightarrow$  source production

## ECHo implanter system

![](_page_47_Figure_1.jpeg)

## **TES for HOLMES**

![](_page_48_Figure_1.jpeg)

Transition Edge Sensors Superconductive Detectors (TES)

- Very steep R vs T dependency in transition region
- Gold absorber with <sup>163</sup>Ho inside coupled to TES thermometer
- Ho sandwiched between two 1  $\mu m$  thick gold layers for a total electron containment
- Fast detectors to reduce pile-up
  - tunable rise time ~ L/R
  - decay time dependent on detector characteristics C/G

![](_page_48_Figure_9.jpeg)

## **MMC for ECHo**

![](_page_49_Figure_1.jpeg)

![](_page_49_Figure_2.jpeg)

![](_page_49_Figure_4.jpeg)

S.Kempf et al., J. Low. Temp. Phys. 176 (2014) 426

## The cryogenics - Milan

![](_page_50_Picture_1.jpeg)

![](_page_50_Figure_3.jpeg)

### **TES** array

First Transition Edge Sensors array

- 6 different designs to be tested
- Different thermal conductances G
- Different TES intrinsic parameters

![](_page_51_Picture_5.jpeg)

![](_page_51_Picture_6.jpeg)

## Readout

• Each TES is coupled to a RF-SQUID

$$E \rightarrow \delta T_{\text{TES}} \rightarrow \delta I_{\text{TES}}$$

![](_page_52_Figure_3.jpeg)

![](_page_52_Figure_4.jpeg)

![](_page_52_Picture_5.jpeg)

## Readout

![](_page_53_Figure_1.jpeg)

## Readout

- Each TES is coupled to a RF-SQUID
- Every RF-SQUID is coupled to a common ramp
- Every RF-SQUID is coupled to a resonant circuit

![](_page_54_Figure_4.jpeg)

![](_page_54_Figure_6.jpeg)

## **HOLMES final array detectors**

HOLMES tested non implanted detectors  $\rightarrow$  final design established <sup>55</sup>Fe (5.9 keV) + fluorescence from (Ca – 3.7 keV; **Cl – 2.6 keV**; Al – 1.5 keV) Stray iductance tuned to achieve pulse edge of  $\tau_R \approx 10 \,\mu s$ 

![](_page_55_Figure_2.jpeg)

## Final arrays – etching

beam

т

WHM width

calculated <sup>163</sup>Ho

![](_page_56_Picture_1.jpeg)

![](_page_56_Picture_2.jpeg)

- Si Deep Reactive Ion Etching (DRIE)
- Closer detector packing  $\rightarrow$  higher implant efficiency
- Still to be tuned

![](_page_56_Picture_6.jpeg)

- Si KOH anisotropic wet etching
- Larger spacing between pixels
- Perfectly tuned → HOLMES baseline

![](_page_56_Picture_10.jpeg)

### Next steps

 $\rightarrow$  The determination of the electron neutrino mass with <sup>163</sup>Ho is complementary to the determination of the neutrino mass with Tritium

 $\rightarrow$  spectral shape measurement is needed for theoreticians to refine the EC model of <sup>163</sup>Ho

- $\rightarrow$  ECHo and HOLMES have already demonstrated:
- production and purification of large amount of <sup>163</sup>Ho sample
- operation of large arrays of high resolution low temperature detector
- first low energy background studies
- $\rightarrow$  HOLMES detector modules will be soon tested for <sup>163</sup>Ho enclosure aiming at 300 Bq
- $\rightarrow$  ECHo is ready for upgrades to larger arrays with 1 Bq activity

## **Overall neutrino mass limits**

$$m_{\Sigma} = \Sigma_i m_{\nu i}$$

- Model dependent
- Orbital experiments
- Current limit 0.12 1 eV
- Future limit 15-50 meV

![](_page_58_Picture_6.jpeg)

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$$m_{\beta\beta} = \left| \Sigma_i m_{\nu i} U_{ei}^2 \right|$$

- Model dependent
- Large underground
   experiments
- Current limit 100 300 meV
- Future limit 15-50 meV

![](_page_58_Picture_13.jpeg)

 $m_{\beta} = \left(\Sigma_i m_{\nu_i}^2 U_{ei}^2\right)^{1/2}$ 

- Model independent
- Surface experiments
- Current limit 2 eV
- Future limit 0.2 meV

![](_page_58_Picture_19.jpeg)

Thank you for you patience and attention ;)